Attorney Docket: Berkey 47-9-6B

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

George E. Berkey Lisa A. Moore Michelle D. Pierson

Serial No:

To Be Assigned

Examiner: To Be Assigned

Filed:

Herewith

Group Art Unit: To Be Assigned

For:

PROJECTION LITHOGRAPHY PHOTOMASKS AND METHOD OF

MAKING

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §§ 1.56, 1.97 – 1.98

Honorable Asst. Commissioner of Patents and Trademarks Patent and Trademark Office Washington, DC 20231

Dear Sir:

The Examiner's attention is hereby directed to the following reference(s) listed on the attached Form PTO-1449 for consideration in connection with the examination of the above-identified patent application. A copy of each of the reference(s) is enclosed August 23, 2001.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the enclosed documents constitute "prior art." If it should be determined that any of the submitted documents do not constitute "prior art" under United States law, applicant(s) reserve the right to present to the office the relevant facts and law regarding the appropriate status of such documents.

Applicant(s) further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the enclosed references, should one or more of the references be applied against the claims of the present application.

Respectfully submitted,

Siwen Chen

Corning Incorporated

SP-TI-03-1

Corning, NY 14831

(607) 248-1253

Leenhu 10. 2003

I hereby certify that this paper is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is Addressed to the Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450

Signature: Colleen E. Doherty

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FORM PTO-1449 (MODIFIED)

LIST OF PATENTS AND PUBLICATIONS FOR APPLICANTS INFORMATION DISCLOSURE STATEMENT

ATTORNEY DOCKET NO.

Berkey 47-9-6B

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APPLICANT Berkey et al.

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REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

Examiner Initial	,	Document Number	Date	Name	Class	Sub- Class	Filing Date if Approp.
	AA	1,283,333	10/29/18	Shaw			
	AB	2,188,121	1/23/40	Smith	47	78.1	
	AC	3,740,207	6/19/73	Bogrets et al.	65	67	
	AD	3,933,454	1/20/76	DeLuca	65	3	
	AE	4,221,825	9/9/80	Guerder et al.	427	34	
	AF	4,345,928	8/24/82	Kawachi et al.	65	18.2	
	AG	4,363,647	12/14/82	Bachman et al.	65	18.2	
	AH	4,612,023	9/16/86	Kreutzer et al.	65	32	
	AI	4,650,511	3/17/87	Koya et al.	65	30.1	
	AJ	4,666,495	5/19/87	Kreutzer et al.	65	258	
	AK	4,789,389	12/6/88	Schermerhorn et al.	65	31.2	
	AL	4,917,718	4/17/90	Berkey	65	108	
	AM	5,043,002	8/27/91	Dobbins et al.	65	31.2	
	AN	5,326,729	7/5/94	Yaba et al.	501	54	
	AO	5,364,433	11/15/94	Nishimura et al.	65	17.4	
	AP	5,410,428	4/25/95	Yamagata et al.	359	350	
	AQ	5,415,953	5/16/95	Alpay et al.	430	5	
	AR	5,474,589	12/12/95	Ohga et al.	65	397	
	AS	5,599,371	2/4/97	Cain et al.	65	413	
	AT	5,609,666	3/11/97	Heitmann	65	421	
	AU	5,655,046	8/5/97	Todoroki et al.	385	144	
	AV	5,683,483	11/4/97	Yosiaki et al.	65	102	
	AW	5,667,547	9/16/97	Christiansen et al.	65	17.4	
	AX	5,668,067	9/16/97	Araujo et al.	501	54	
	AY	5,679,125	10/21/97	Hiraiwa et al.	65	397	
	AZ	5,683,483	11/4/97	Yosiaki et al.	65	102	

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Examiner Initial		Document Number	Date	Name	Class	Sub- Class	Filing Date if Approp.
	AA1	5,698,484	12/16/97	Maxon	501	54	
	AB1	5,702,495	12/30/97	Hiraiwa et al.	65	17.1	
	AC1	5,702,847	12/30/97	Tarumoto et al.	430	5	
	AD1	5,707,908	1/13/98	Komine et al.	501	53	
	AE1	5,735,921	4/7/98	Araujo et al.	65	32.1	
	AF1	5,764,345	6/9/98	Fladd et al.	356	35.5	
	AG1	5,837,024	11/17/98	Fabian	65	17.4	·
	AK1	5,970,746	10/26/99	Fujinoki et al.	65	102	

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AB	98/52879	11/26/98	PCT	C03B	19/14	X	
AC	0 401 845 A2	12/12/90	EPO	G02B	1/00	X	
AD	0 483 752 A2	5/6/92	EPO	C03C	3/06	X	,
AE	0 488 320 A1	6/3/92	EPO	C03C	3/06	X	
 AF	0 607 433 B1	11/4/98	EPO	C03B	23/06	X	,
AG	0 636 586 A1	2/1/95	EPO	C03C	3/06	X	
AH	0 870 737 A1	10/14/98	EPO	C03C	3/06	X	
ΑI	0 901 989 A1	3/17/99	EPO	C03B	19/14	X	
AJ	2,184,434	6/24/87	United Kingdom	C03B	20/00	X	
AK	2,704,015 A1	8/3/78	Germany	C03B	23/04	X	
AL	63-210044	8/31/88	Japan	C03C	17/245	X	
AM	1-138145	5/31/89	Japan			X	
AN	62-235223	10/15/87	Japan (abstract)	C03B	20/00		X
AO	67/22389	11/1/67	Japan				X

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FORM PTO-1449 (MODIFIED) ATTORNEY DOCKET NO. SERIAL NO. Berkey 47-9-6B PUBLICATIONS FOR APPLICANTS INFORMATION DISCLOSURE STATEMENT APPLICANT Berkey et al.

FILING DATE: Herewith

OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

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AB	Roger J. Araujo, Nicholas F. Borrelli and Charlene Smith, <i>INDUCED ABSORPTION IN SILICA (A PRLIMINARY MODEL)</i> , SPIE Vol. 3424, 1998, pp. 25-32.
AC	George H. Beall, <i>INDUSTRIAL APPLICATIONS OF SILICA</i> , Reviews in Minerology, <u>29</u> , pp. 469-505
AD	N.F. Borrelli, Charlene Smith, Douglas C. Allan and T.P. Seward III, Densification of fused silica under 193-nm excitation, J. Opt. Soc. Am B/Vol 14, No. 7/July 1997, pp. 1606-1615.
AE	J.W. Fleming and D.L. Wood, refractive index dispersion and related properties in fluorine doped silica, APPLIED OPTICS/Vol. 22, No. 19/October 1, 1983, pp.3102-3104.
AF	David L. Griscom, Optical Properties and Structure of Defects in Silica Glass, The Centennial Memorial Issue, 99[10], 1991, pp. 926-942.
AG	Hideo Hosono, Masafumi Mizuguchi, and Hiroshi Kawazoe, Effects of fluorine dimer excimer laser radiaiotn on the optical transmission and defect formation of various types of synthetic SiO ₂ glasses, APPLIED PHYSICS LETTERS, Vol. 74, No. 19, 10 May 1999, pp. 2755-2757.
AH	Toshio Ibuki et al., ABSORPTION SPECTRA OF SiCl ₄ , SiCl ₆ , SiF ₃ CH ₃ AND GeF ₄ IN THE VUV REGION, Chemical Physics Letters, Vol. 136, No. 5, 15 May 1987, pp. 447-450.
AI	W.D. Kingery, H.K. Brown, and D. R. Uhlmann, <i>Introduction to Ceramics</i> , <i>Second Edition</i> , John Wiley & Sons, 1976. pg. 654.
AJ	M. Kyoto, Y. Ohoga, S. Ishikawa, Y. Ishiguro, Research and Development Group, Sumitomo Electric Industries Ltd, 1993 Chapman and Hall, pp. 2738-2744.
AK	I. H. Malitosn, Interspecimen Comparison of the Refractive Index of Fused Silica, Journal of the Optical Society of America, Vol. 55, No. 10, pp. 1205-1209.
AL	James A. McClay and Angela S.L. McIntyre, 157 nm optical lithography: The accomplishments and the challenges, Solid State Technology, June 1999, pp. 57-68.
AM	Taro Moritani et al., "Glass Engineering Handbook," April 20, 1964, Asakura Shoten, p. 611, Clause 2.1 Fabrication.,

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		Irradiaiotn On The Transmission, Index Of Refraction, And Density Of
		Ultraviolet Grade Fused Silica, Appl. Phys. Lett 55(13) 9/25/99, pp. 1276-
		1278
	AO	Charlene M. Smith, Lisa A. Moore, Fused Silica for 157 nm Transmittance,
		SPIE Vol. 3676, 15-17 March 1999, pp. 834-841.
	AP	D.R. Sempolinski, T.P. Seward, C. Smith, N. Borrelli, C. Rosplock, Effects of
		Glass Forming conditins on the KrF-Excimer-Laser-Induced Optical Damage
		In Synthetic Fused Silica, Journal of Non-Crystalline Solids 203 (1996) pp.
		69-77
	AQ	Richard H. Stulen & donald W. Sweeney, Extreme Ultraviolet Lithography,
	`	Optics & Photonics News, August 1999, Vol. 10, No. 8, pp. 34-38
	AR	Richard E. Schenker & William G. Oldham, Ultraviolet-induced Densification
		In Fused Silica, J. Appl. Phys. 82 (3), 1 August 1997, pp. 1065-1071
	AS	Koji Tsukuma, N. Yamada, S. Kondo, K. Honda & H. Segawa, Refractive
		Index, Dispersion and Absorption of Fluorine-Doped Silica glass in the Deep
		UV Region, Journal of Non-Crystalline Solids 127 (1991), pp. 191-196
	AT	H. Takahashi, A. Oyobe, M. Kosuge & R. Setaka, Characteristics of Fluorine-
-		Doped Silica Glass, Technical Digest: European Conference on Optical
		Communication, (1986) pp. 3-6
	AU	K. Tsukuma, N. Yamada, S. Kondo, K. Honda & H. Segawa, Refractive
		Index, Dispersion and Absorption of Fluorine-Doped Silica Glass in the Deep
		UV Region, Journal of Non-crystalline Solids 127 (1991), pp. 191-196
	AV	W. Vogel, Chemistry of Glass, The American Ceramic Society, Inc. (1985),
		pp. 203-205.
	AW	PTO: 96-0383, Journal, Title: Sheet Glass
-	AX	Corning HPFS®, ArF Grade, Product Literature, 1999
	AY	Shin-Etsu Chemical Homepage, Semiconductor Materials Division,
		http://www.shinetsu.co.jp/english/profile/division/sem-div/sem-div.html,
		(5/17/99) pp. 1-2
	AZ	Purity and Chemical Reactivity, Isimoto Co., Ltd. Homepage, Purity and
		Chemical Reactivity,
		http://www.isimoto.com/isimoto/english/feature1.html, (5/17/99) pp. 1-3
	I I	pp. 1-3

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FILING DATE: Herewith

OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

OTHE	R ART (Including Author, Title, Date, Pertinent Pages, etc.)
AA1	Products for Optics, Isimoto Co., Ltd. Homepage,
	http://www.isimoto.com/isimoto/english/variation 3.html, (5/17/99)
	pp. 1-2
AB1	Product Information, Isimoto Co., Ltd. Homepage,
	http://www.isimoto.com/isimoto/english/product_info.html, (5/17/99)
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AC1	High Purity Quartz Glass Products, http://www.toshiba-
1	ceramics.com/quartz.html, (5/17/99) pp. 1-2
AD1	Heraeus Quarzglas, Fused Silica for 157 nm photomasks, Bruno Uebbing 157
	nm workshop, 2/16/99 Phoenix/USA, pp. 1-5
AE1	Heraeus, Product Literature, Quartz Glass for Optics Optical Properties,
	Edition 1994
AF1	Heraeus Amersil, Product Literature, The Leader in Silica Glass & Fabricated
<u> </u>	Quartz Glass Semiconductor Products: CVD Tubes, (9/14/99)
AG1	Heraeus Amersil, Product Literature, The Leader in Silica Glass & Fabricated
	Quartz Glass: Diffusion Tubes, (9/14/99)
AH1	Heraeus Amersil, Product Literature, The Leader in Silica Glass & Fabricated
	Quartz Glass Semiconductor Products: Furnace Tubes, (9/14/99)
AI1	Heraeus Amersil, Product Literature, The Leader in Silica Glass & Fabricated
	Quartz Glass Semiconductor Products: Windows, (9/14/99)
AJ1	Heraeus Amersil, Product Literature, The Leader in Silica Glass & Fabricated
	Quartz Glass Semiconductor Products: Optical Propertie, (9/14/99)
AK1	Heraeus Amersil, Product Literature, The Leader in Silica Glass & Fabricated
	Quartz Glass Semiconductor Products: Thermal Properties, (9/14/99)
AL1	Heraeus Amersil, Product Literature, The Leader in Silica Glass & Fabricated
	Quartz Glass Semiconductor Products: Raw Materials, (9/14/99)
AM1	Heraeus Amersil, Product Literature, The Leader in Silica Glass & Fabricated
	Quartz Glass Semiconductor Products: Corporate Profile, (9/14/99)
	AA1 AB1 AC1 AD1 AE1 AF1 AG1 AH1 AJ1 AJ1 AK1 AL1

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OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

Encyclopedia Britannica Online Article, blow molding, (8/30/99)
Encyclopedia Britannica Online Article, glassblowing (8/30/99)
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Encyclopedia Britannica Online Article, illustration (8/30/99)
Graphite Die Mold, Product Literature,
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Graphite Die Mold, Mission Statement, (8/23/99)
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Customerseric@e-composites.com, Tool making process, (8/23/99) pp. 1-
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	AA	4,676,814	6/30/87	Ross et al.	65	3.12	
	AB	5,935,733	8/10/99	Scott et al.	430	5	·
	AC	6,016,669	1/25/00	Correa et al.	65	109	
	AD	5,699,183	12/16/97	Hiraiwa et al.	359	355	
	ΑE	6,087,283	7/11/00	Jinbo et al.	501	54	
	AF						
	AG						

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		Document Number	Date	Country	Class	Sub-	Translat	
•	AH	0 163 752	12/11/85	EPO	C03B	Class 37/018	Yes	No
	AI	0 691 312	1/10/96	EPO	C03C	3/06	$\frac{x}{x}$	
	AJ	0 147 029	10/30/84	EPO	C03B	37/016	X	
	AK	0 691 312	1/10/96	EPO	C03C	3/06	X	
	AL	0 735 006	10/2/96	EPO	C03B	19/14	X	
	AM	257,590	3/24/27	United Kingdom				
	AN	00/24684	5/4/00	PCT	C03B	32/00	X	
	AO	P2001-19450A	1/23/01	Japan	C03B	20/00	X	
	AP	2,184,434	6/24/87	United Kingdom	C03B	20/00		
	AQ	P2001-19450A	1/23/01	Japan	C03B	20/00	X	
	AR	1 084 995A1	3/21/00	EPO	C03B	19/14	X	
	AS	1 035 084A2	9/13/00	EPO	C03C	19/14	X	

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	AU	Patent Abstracts of Japan, vol. 012, no 191 (C-501), 3 June 1988, JP 62-297233, FUJITSU LTD., 24 December 1987, Abstract.
	AV	Patent Abstracts of Japan, vol. 006, no. 252 (P-161), 10 December 1982, JP 57-147604, NIPPON DENKI KK, 11 September 1982, Abstract.
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